

New Enrollment

Rehire/Re-enrollment



### Participant Enrollment Governmental 457(b) Plan

### State of Hawaii Deferred Compensation Plan

525436-01

#### Participant Information

Last Name			First Name			MI			Social Security Number								
<i>(The name provided MUST match the name on file with Service Provider.)</i>																	
Mailing Address												E-Mail Address					
City			State			Zip Code			<input type="checkbox"/> Married		<input type="checkbox"/> Unmarried		<input type="checkbox"/> Female		<input type="checkbox"/> Male		
Mo		Day		Year		Mo		Day		Year							
Date of Birth						Date of Hire						Annual Income					

Do you have a retirement savings account with a previous employer or an IRA?  Yes  No

Would you like help consolidating your other retirement accounts into your account with Empower?\*  Yes, I would like a representative to call me at phone # \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ to review my options and assist me with the process. The best time to call is \_\_\_\_\_ to \_\_\_\_\_ A.M./P.M. (circle one - available 5 a.m. to 7 p.m. Pacific time). \*Rollovers are subject to your Plan's provisions.

#### Payroll Information

- I elect to contribute \$ \_\_\_\_\_ or \_\_\_\_\_% (do not complete both) (up to \$23,000.00 or 1% - 99%) per pay period of my compensation as Before Tax contributions to the Governmental 457(b) Plan until such time as I revoke or amend my election.
- I elect to contribute \$ \_\_\_\_\_ or \_\_\_\_\_% (do not complete both) (up to \$23,000.00 or 1% - 99%) per pay period of my compensation as Roth contributions to the Governmental 457(b) Plan until such time as I revoke or amend my election.

Sub plan number	000001 State of Hawaii	000004 County of Maui
	000002 County of Hawaii	000002 County of Hawaii Water District
	000003 County of Kauai	000006 Waiialae Charter School

Payroll number (FOR STATE EMPLOYEES ONLY: LOCATED ON TOP PORTION OF YOUR PAYSTUB)

For Empower Enrollment Processor:

The Department and Division codes are to be input into the Employee ID field.

Department: \_\_\_\_\_ Division: \_\_\_\_\_

Original date of employment (for new enrollment only) \_\_\_\_\_  
Mo Day Year

Date of rehire (for rehires or department transfers only) \_\_\_\_\_  
Mo Day Year

**Investment Option Information (applies to all contributions) -** Please refer to your communication materials for information regarding each investment option and Asset Allocation Models.

I understand that funds may impose redemption fees on certain transfers, redemptions or exchanges if assets are held less than the period stated in the fund's prospectus or other disclosure documents. I will refer to the fund's prospectus and/or disclosure documents for more information.

Select either an Asset Allocation Model (A) or your own investment options (B).

**(A) Asset Allocation Model Selection - only one model can be selected**

<u>Asset Allocation Model Name</u>	<u>Model Selection</u>	<u>Asset Allocation Model Name</u>	<u>Model Selection</u>
Empower GoalMaker AGGRESSIVE 2005	<input type="checkbox"/>	Empower GoalMaker CONS 2040	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2010	<input type="checkbox"/>	Empower GoalMaker CONS 2045	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2015	<input type="checkbox"/>	Empower GoalMaker CONS 2050	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2020	<input type="checkbox"/>	Empower GoalMaker CONS 2055	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2025	<input type="checkbox"/>	Empower GoalMaker CONS 2060	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2030	<input type="checkbox"/>	Empower GoalMaker CONS 2065	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2035	<input type="checkbox"/>	Empower GoalMaker CONS 2070	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2040	<input type="checkbox"/>	Empower GoalMaker MODERATE 2005	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2045	<input type="checkbox"/>	Empower GoalMaker MODERATE 2010	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2050	<input type="checkbox"/>	Empower GoalMaker MODERATE 2015	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2055	<input type="checkbox"/>	Empower GoalMaker MODERATE 2020	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2060	<input type="checkbox"/>	Empower GoalMaker MODERATE 2025	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2065	<input type="checkbox"/>	Empower GoalMaker MODERATE 2030	<input type="checkbox"/>
Empower GoalMaker AGGRESSIVE 2070	<input type="checkbox"/>	Empower GoalMaker MODERATE 2035	<input type="checkbox"/>
Empower GoalMaker CONS 2005	<input type="checkbox"/>	Empower GoalMaker MODERATE 2040	<input type="checkbox"/>
Empower GoalMaker CONS 2010	<input type="checkbox"/>	Empower GoalMaker MODERATE 2045	<input type="checkbox"/>
Empower GoalMaker CONS 2015	<input type="checkbox"/>	Empower GoalMaker MODERATE 2050	<input type="checkbox"/>
Empower GoalMaker CONS 2020	<input type="checkbox"/>	Empower GoalMaker MODERATE 2055	<input type="checkbox"/>
Empower GoalMaker CONS 2025	<input type="checkbox"/>	Empower GoalMaker MODERATE 2060	<input type="checkbox"/>
Empower GoalMaker CONS 2030	<input type="checkbox"/>	Empower GoalMaker MODERATE 2065	<input type="checkbox"/>
Empower GoalMaker CONS 2035	<input type="checkbox"/>	Empower GoalMaker MODERATE 2070	<input type="checkbox"/>

**(B) Select Your Own Investment Options**

INVESTMENT OPTION				INVESTMENT OPTION			
<u>NAME</u>	<u>TICKER CODE</u>	<u>%</u>		<u>NAME</u>	<u>TICKER CODE</u>	<u>%</u>	
The Vanguard Target Retire Incm Trust II.....	N/A	VGRINC	_____	BlackRock Non-US Equity Index.....	N/A	D2961A	_____
The Vanguard Target Retire 2020 Trust II.....	N/A	VG2020	_____	SSgA Real Asset Fund Class C.....	N/A	SREASC	_____
The Vanguard Target Retire 2025 Trust II.....	N/A	VG2025	_____	Harbor Small Cap Value Instl.....	HASCX	HASCX	_____
The Vanguard Target Retire 2030 Trust II.....	N/A	VG2030	_____	William Blair Small Mid Cap Growth.....	N/A	D2937A	_____
The Vanguard Target Retire 2035 Trust II.....	N/A	VG2035	_____	Blackrock US Small/Mid Cap Ind.....	N/A	D2742A	_____
The Vanguard Target Retire 2040 Trust II.....	N/A	VG2040	_____	American Funds AMCAP R6.....	RAFGX	RAFGX	_____
The Vanguard Target Retire 2045 Trust II.....	N/A	VG2045	_____	Mainstay Winslow Large Cap Growth I.....	MLAIX	MLAIX	_____
The Vanguard Target Retire 2050 Trust II.....	N/A	VG2050	_____	BlackRock US Large Cap Index.....	N/A	D2535A	_____
The Vanguard Target Retire 2055 Trust II.....	N/A	VG2055	_____	WellingtonCIFII Trust Research.....	N/A	D2641A	_____
The Vanguard Target Retire 2060 Trust II.....	N/A	VG2060	_____	Vanguard Wellington Adm.....	VWENX	VWENX	_____
The Vanguard Target Retire 2065 Trust II.....	N/A	VG2065	_____	Vanguard Target Retirement 2070 Trust II.....	N/A	D2783A	_____
American Funds EuroPacific Gr R6.....	REGRX	REGRX	_____	BlackRock US Bond Index F Cl.....	N/A	D2693A	_____
MFS International Intrinsic Value R6.....	MINJX	MINJX	_____	BlackRock Total Return Bond Fund Class F....	N/A	D2905A	_____
Hartford Schroders Emerging Mkts Eq SDR....	SEMTX	SEMTX	_____	STABLE VALUE FUND.....	N/A	D2803A	_____
<b>MUST INDICATE WHOLE PERCENTAGES</b>							<b>= 100%</b>

## Participation Agreement

**Withdrawal Restrictions** - I understand that the Internal Revenue Code (the "Code") and/or my employer's Plan Document may impose restrictions on transfers and/or distributions. I understand that I must contact the Plan Administrator to determine when and/or under what circumstances I am eligible to receive distributions or make transfers.

**Investment Options** - If I elect to direct my own investments, I understand that by signing and submitting this Participant Enrollment form for processing, I am requesting to have investment options established under the Plan as specified in the Investment Option Information section. I understand and agree that this account is subject to the terms of the Plan Document. I understand and acknowledge that all payments and account values, when based on the experience of the investment options, may not be guaranteed and may fluctuate, and, upon redemption, shares may be worth more or less than their original cost. I acknowledge that investment option information, including prospectuses, disclosure documents and Fund Profile sheets, have been made available to me and I understand the risks of investing.

**Asset Allocation Models** - If you select an Asset Allocation Model, your funds will be invested among the investment options as indicated below. In applying models to your particular situation, you should consider all of your assets and all of your spouse's assets, including IRAs, mutual funds and other qualified plans. I understand that the Asset Allocation Models listed below are subject to change, and that my contributions will be invested upon receipt into the most current model that the Plan offers. If an Asset Allocation Model is selected and I have also designated my own investment options, the Asset Allocation Model will supersede my own investment options.

**Empower GoalMaker AGGRESSIVE 2005** - MLAIX 7.62% D2641A 8.76% D2937A 4.3% HASCX 5.31% MINJX 5.05%  
 RERGX 5.06% D2905A 25.56% D2803A 38.34%

**Empower GoalMaker AGGRESSIVE 2010** - MLAIX 7.93% D2641A 9.13% D2937A 4.42% HASCX 5.81% MINJX 5.53%  
 RERGX 5.54% D2905A 24.65% D2803A 36.99%

**Empower GoalMaker AGGRESSIVE 2015** - MLAIX 8.43% D2641A 9.7% D2937A 4.83% HASCX 6.42% MINJX 6.37%  
 RERGX 6.38% D2905A 23.14% D2803A 34.73%

**Empower GoalMaker AGGRESSIVE 2020** - MLAIX 9.11% D2641A 10.48% D2937A 5.37% HASCX 7.22% MINJX 7.46%  
 RERGX 7.47% D2905A 21.15% D2803A 31.74%

**Empower GoalMaker AGGRESSIVE 2025** - MLAIX 10.12% D2641A 11.65% D2937A 6.14% HASCX 8.34% MINJX 8.97%  
 RERGX 8.97% D2905A 18.33% D2803A 27.48%

**Empower GoalMaker AGGRESSIVE 2030** - MLAIX 11.61% D2641A 13.36% D2937A 7.25% HASCX 9.94% MINJX 11.11%  
 RERGX 11.11% D2905A 14.25% D2803A 21.37%

**Empower GoalMaker AGGRESSIVE 2035** - MLAIX 13.3% D2641A 15.3% D2937A 8.53% HASCX 11.82% MINJX 13.72%  
 RERGX 13.73% D2905A 9.44% D2803A 14.16%

**Empower GoalMaker AGGRESSIVE 2040** - MLAIX 14.54% D2641A 16.73% D2937A 9.59% HASCX 13.41%  
 MINJX 16.17% RERGX 16.18% D2905A 5.35% D2803A 8.03%

**Empower GoalMaker AGGRESSIVE 2045** - MLAIX 14.95% D2641A 17.21% D2937A 10.15% HASCX 14.32%  
 MINJX 17.92% RERGX 17.92% D2905A 4.52% D2803A 3.01%

**Empower GoalMaker AGGRESSIVE 2050** - MLAIX 14.7% D2641A 16.91% D2937A 10.26% HASCX 14.6% MINJX 18.97%  
 RERGX 18.98% D2905A 3.35% D2803A 2.23%

**Empower GoalMaker AGGRESSIVE 2055** - MLAIX 14.21% D2641A 16.35% D2937A 10.2% HASCX 14.64%  
 MINJX 19.73% RERGX 19.74% D2905A 3.59% D2803A 1.54%

**Empower GoalMaker AGGRESSIVE 2060** - MLAIX 13.68% D2641A 15.74% D2937A 10.1% HASCX 14.61%  
 MINJX 20.43% RERGX 20.43% D2905A 3.51% D2803A 1.5%

**Empower GoalMaker AGGRESSIVE 2065** - MLAIX 13.14% D2641A 15.12% D2937A 9.98% HASCX 14.55% MINJX 21.1%  
 RERGX 21.11% D2905A 3.5% D2803A 1.5%

**Empower GoalMaker AGGRESSIVE 2070** - MLAIX 13.14% D2641A 15.12% D2937A 9.98% HASCX 14.55% MINJX 21.1%  
 RERGX 21.11% D2905A 3.5% D2803A 1.5%

**Empower GoalMaker CONS 2005** - MLAIX 4.75% D2641A 5.47% D2937A 2.69% HASCX 3.32% MINJX 3.15%  
 RERGX 3.16% D2905A 30.99% D2803A 46.47%

**Empower GoalMaker CONS 2010** - MLAIX 4.84% D2641A 5.57% D2937A 2.7% HASCX 3.54% MINJX 3.36%  
 RERGX 3.37% D2905A 30.65% D2803A 45.97%

**Empower GoalMaker CONS 2015** - MLAIX 5.15% D2641A 5.92% D2937A 2.95% HASCX 3.92% MINJX 3.87%  
 RERGX 3.87% D2905A 29.73% D2803A 44.59%

**Empower GoalMaker CONS 2020** - MLAIX 5.71% D2641A 6.57% D2937A 3.37% HASCX 4.52% MINJX 4.63%  
 RERGX 4.63% D2905A 28.23% D2803A 42.34%

**Empower GoalMaker CONS 2025** - MLAIX 6.42% D2641A 7.38% D2937A 3.89% HASCX 5.28% MINJX 5.6%  
 RERGX 5.61% D2905A 26.32% D2803A 39.5%

**Empower GoalMaker CONS 2030** - MLAIX 7.26% D2641A 8.35% D2937A 4.53% HASCX 6.21% MINJX 6.82%  
 RERGX 6.83% D2905A 24% D2803A 36%

Last Name

First Name

M.I.

Social Security Number

**Empower GoalMaker CONS 2035** - MLAIX 8.41% D2641A 9.67% D2937A 5.39% HASCX 7.47% MINJX 8.5%  
 RERGX 8.51% D2905A 20.82% D2803A 31.23%

**Empower GoalMaker CONS 2040** - MLAIX 9.92% D2641A 11.41% D2937A 6.55% HASCX 9.15% MINJX 10.78%  
 RERGX 10.78% D2905A 16.56% D2803A 24.85%

**Empower GoalMaker CONS 2045** - MLAIX 11.41% D2641A 13.12% D2937A 7.74% HASCX 10.92% MINJX 13.31%  
 RERGX 13.32% D2905A 18.11% D2803A 12.07%

**Empower GoalMaker CONS 2050** - MLAIX 12.37% D2641A 14.23% D2937A 8.63% HASCX 12.29% MINJX 15.49%  
 RERGX 15.49% D2905A 12.9% D2803A 8.6%

**Empower GoalMaker CONS 2055** - MLAIX 12.53% D2641A 14.42% D2937A 9% HASCX 12.91% MINJX 16.84%  
 RERGX 16.84% D2905A 12.22% D2803A 5.24%

**Empower GoalMaker CONS 2060** - MLAIX 12.34% D2641A 14.19% D2937A 9.11% HASCX 13.18% MINJX 17.77%  
 RERGX 17.78% D2905A 10.94% D2803A 4.69%

**Empower GoalMaker CONS 2065** - MLAIX 11.95% D2641A 13.75% D2937A 9.08% HASCX 13.24% MINJX 18.45%  
 RERGX 18.46% D2905A 10.55% D2803A 4.52%

**Empower GoalMaker CONS 2070** - MLAIX 11.95% D2641A 13.75% D2937A 9.08% HASCX 13.24% MINJX 18.45%  
 RERGX 18.46% D2905A 10.55% D2803A 4.52%

**Empower GoalMaker MODERATE 2005** - MLAIX 6.17% D2641A 7.1% D2937A 3.49% HASCX 4.31% MINJX 4.09%  
 RERGX 4.1% D2905A 28.29% D2803A 42.45%

**Empower GoalMaker MODERATE 2010** - MLAIX 6.44% D2641A 7.4% D2937A 3.59% HASCX 4.71% MINJX 4.49%  
 RERGX 4.49% D2905A 27.55% D2803A 41.33%

**Empower GoalMaker MODERATE 2015** - MLAIX 6.88% D2641A 7.92% D2937A 3.95% HASCX 5.24% MINJX 5.2%  
 RERGX 5.21% D2905A 26.24% D2803A 39.36%

**Empower GoalMaker MODERATE 2020** - MLAIX 7.5% D2641A 8.63% D2937A 4.42% HASCX 5.95% MINJX 6.14%  
 RERGX 6.15% D2905A 24.48% D2803A 36.73%

**Empower GoalMaker MODERATE 2025** - MLAIX 8.27% D2641A 9.51% D2937A 5.01% HASCX 6.81% MINJX 7.32%  
 RERGX 7.33% D2905A 22.3% D2803A 33.45%

**Empower GoalMaker MODERATE 2030** - MLAIX 9.36% D2641A 10.77% D2937A 5.84% HASCX 8.01% MINJX 8.96%  
 RERGX 8.96% D2905A 19.23% D2803A 28.87%

**Empower GoalMaker MODERATE 2035** - MLAIX 10.9% D2641A 12.54% D2937A 6.99% HASCX 9.69% MINJX 11.25%  
 RERGX 11.26% D2905A 14.95% D2803A 22.42%

**Empower GoalMaker MODERATE 2040** - MLAIX 12.5% D2641A 14.38% D2937A 8.25% HASCX 11.53% MINJX 13.91%  
 RERGX 13.92% D2905A 10.2% D2803A 15.31%

**Empower GoalMaker MODERATE 2045** - MLAIX 13.6% D2641A 15.65% D2937A 9.23% HASCX 13.02% MINJX 16.3%  
 RERGX 16.3% D2905A 9.54% D2803A 6.36%

**Empower GoalMaker MODERATE 2050** - MLAIX 13.93% D2641A 16.03% D2937A 9.72% HASCX 13.84% MINJX 17.96%  
 RERGX 17.97% D2905A 6.33% D2803A 4.22%

**Empower GoalMaker MODERATE 2055** - MLAIX 13.65% D2641A 15.71% D2937A 9.8% HASCX 14.07% MINJX 18.95%  
 RERGX 18.96% D2905A 6.2% D2803A 2.66%

**Empower GoalMaker MODERATE 2060** - MLAIX 13.22% D2641A 15.21% D2937A 9.76% HASCX 14.12% MINJX 19.74%  
 RERGX 19.74% D2905A 5.75% D2803A 2.46%

**Empower GoalMaker MODERATE 2065** - MLAIX 12.72% D2641A 14.64% D2937A 9.66% HASCX 14.09% MINJX 20.44%  
 RERGX 20.45% D2905A 5.6% D2803A 2.4%

**Empower GoalMaker MODERATE 2070** - MLAIX 12.72% D2641A 14.64% D2937A 9.66% HASCX 14.09% MINJX 20.44%  
 RERGX 20.45% D2905A 5.6% D2803A 2.4%

Your account will be rebalanced quarterly so that your account aligns with your selected Asset Allocation Model. Rebalancing does not assure a profit and does not protect against loss in declining markets.

**Compliance With Plan Document and/or the Code** - I agree that my employer or Plan Administrator may take any action that may be necessary to ensure that my participation in the Plan is in compliance with any applicable requirement of the Plan Document and/or the Code. I understand that the maximum annual limit on contributions is determined under the Plan Document and/or the Code. I understand that it is my responsibility to monitor my total annual contributions to ensure that I do not exceed the amount permitted. If I exceed the contribution limit, I assume sole liability for any tax, penalty, or costs that may be incurred.

Last Name

First Name

M.I.

Social Security Number

Number

**Incomplete Forms** - I understand that in the event my Participant Enrollment form is incomplete or is not received by Service Provider at the address below prior to the receipt of any deposits, I specifically consent to Service Provider retaining all monies received and allocating them to the default investment option selected by the Plan. If no default investment option is selected, funds will be returned to the payor as required by law. Once an account has been established on my behalf, I understand that I must call the Voice Response System or access the Web site in order to transfer monies from the default investment option. Also, I understand all contributions received after an account is established on my behalf will be applied to the investment options I have most recently selected.

**Account Corrections** - I understand that it is my obligation to review all confirmations and quarterly statements for discrepancies or errors. Corrections will be made only for errors which I communicate within 90 calendar days of the last calendar quarter. After this 90 days, account information shall be deemed accurate and acceptable to me. If I notify Service Provider of an error after this 90 days, the correction will only be processed from the date of notification forward and not on a retroactive basis.

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### Signature(s) and Consent

#### Participant Consent

I have completed, understand and agree to all pages of this Participant Enrollment form.

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#### Participant Signature

#### Date

*A handwritten signature is required on this form. An electronic signature will not be accepted and will result in a significant delay.*

#### Participant forward this form to:

Empower  
1100 Alakea Street, Suite 1550  
Honolulu, HI 96813  
Fax: 1-808-536-0572

The group variable annuity insurance products are issued through Empower Annuity Insurance Company, Hartford, CT and distributed through Prudential Investment Management Services, LLC (PIMS). Each organization is solely responsible for its financial condition and contractual obligations. PIMS is not affiliated with Empower Retirement, LLC. Annuity contracts contain exclusions, limitations, reductions of benefits and terms for keeping them in force. The annuity or certain of its investment options or features may not be available in all states. Policy forms currently available include DC- 08-TGWB-2011, ALC-408-TGWB-2011-NR, ALC-408-TGWB-2011-ROTH, IND-IFX-TGWB-2013-NR, IND-IFX-TGWB-2013-ROTH or state variation thereof.

You could lose money by investing in money market investments. Although they seek to preserve the value of your investment at \$1 or \$10.00 per share (see the prospectus), there is no guarantee they will. An investment in a money market investment is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The money market investment's sponsor has no legal obligation to provide financial support to the portfolio, and you should not expect that the sponsor will provide financial support to the portfolio at any time. The yield quotation more closely reflects the current earnings of the portfolio than the total return quotation.