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SYLVIA LUKE LT. GOVERNOR KA HOPE KIA'ĀINA



BRENNA H. HASHIMOTO DIRECTOR KA LUNA HO'OKELE

RYAN YAMANE DEPUTY DIRECTOR KA HOPE LUNA HO'OKELE

#### STATE OF HAWAI'I | KA MOKU'ĀINA O HAWAI'I DEPARTMENT OF HUMAN RESOURCES DEVELOPMENT KA 'OIHANA HO'OMÕHALA LIMAHANA 235 S. BERETANIA STREET HONOLULU, HAWAI'I 96813-2437

December 26, 2023

The Honorable Ronald D. Kouchi, President and Members of the Senate State Capitol, Room 409 Honolulu, Hawai'i 96813 The Honorable Scott Saiki, Speaker and Members of the House State Capitol, Room 431 Honolulu, Hawai'i 96813

Dear President Kouchi, Speaker Saiki and Members of the Legislature:

For your information and consideration, I am transmitting herewith the 2023 Report of Positions Exempt from Civil Service (Act 300 SLH 2006).

In accordance with Section 93-16 Hawai'i Revised Statutes, a copy of the report has been transmitted to the Legislative Reference Bureau Library, and the report may be viewed electronically at: <u>http://dhrd.hawaii.gov/reports/legislative-reports/</u>.

Sincerely,

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Brenna H. Hashimoto Director

Attachment

# **REPORT TO THE 2024 LEGISLATURE**

# **ON POSITIONS EXEMPTED FROM THE CIVIL SERVICE**

AS REQUIRED BY ACT 300, SESSION LAWS OF HAWAII 2006

Department of Human Resources Development December 2023

# Submitted by the Department of Human Resources Development December 26, 2023

# SUMMARY OF REPORT

Act 300, Session Laws of Hawaii (SLH) 2006, directs the Department of Human Resources Development (DHRD) to submit reports on exempt positions to the Legislature prior to each regular session.

Inasmuch as the Act establishes an ongoing annual reporting requirement, and to provide the Legislature with the most current data available, the period covered by each report is from November 1 through October 31 of the applicable year. This report complies with the reporting requirements and provides a status report on the efforts to implement Act 300 for the period November 1, 2022 through October 31, 2023.

During the current reporting period, twelve (12) exempt positions were replaced with civil service positions. Of the twelve:

- Five (5) positions were exempt from civil service based on section 76-16(b)(12), Hawaii Revised Statutes (HRS);
- Five (5) positions were exempt based on section 76-16(b)(17), HRS;
- One (1) position was exempt based on section 76-16(b)(19), HRS; and
- One (1) position was exempt based on section 76-16(b)(23), HRS.

# BACKGROUND

Act 300, SLH 2006 was enacted to "comply with Act 253, SLH 2000." The Act amended a number of statutory provisions that required positions to be exempt from civil service to allow discretion as to whether the positions should be civil service or exempt from civil service, and also directed DHRD and the Hawaii Government Employees Association (HGEA) "to work collaboratively to establish a logical, workable and fair process for converting positions in various departments, which are currently exempt from chapter 76, Hawaii Revised Statutes, to civil service positions." The Act also granted rights to exempt employees who occupied the affected exempt positions for at least one year and requires DHRD to submit a report on the conversions of exempt positions to the Legislature prior to each regular session.

DHRD and the HGEA subsequently entered into a Letter of Understanding (LOU) dated March 17, 2011, regarding the identification of positions for conversion. The LOU, whose effective date ended December 31, 2013, sought to significantly increase the number of exempt positions identified for conversion to civil service in all departments of the Executive Branch, and required DHRD to identify exempt positions that may be converted to civil service. DHRD directed significant resources to the LOU to conduct a

comprehensive review of positions in all departments that are exempt from civil service based on sections 76-16(b)(12) and (17), HRS.

To further facilitate an increase in the number of exempt positions replaced with civil service positions, DHRD revised Policy No. 1000.002, Appointment of Exempt Employees to Replacement Civil Service Positions, effective November 30, 2011. The policy extends the Act 300, SLH 2006 process to all appropriate conversions.

### ANNUAL REPORT ON THE NUMBER OF EXEMPT POSITIONS REPLACED WITH CIVIL SERVICE POSITIONS AND THE NUMBER OF EXEMPT POSITIONS REMAINING

Act 300 requires DHRD to submit an annual report to the legislature that includes the following information:

- 1. The number of exempt positions that were converted to civil service during the previous twelve months; and
- 2. The number of exempt positions remaining in each State department after the conversions.

For the exempt positions that were converted to civil service, the report must also indicate:

- 1. When the position was established;
- 2. The purpose of the position; and
- 3. The rationale for the conversion.

During the current reporting period, twelve (12) exempt positions were replaced with civil service positions. Of the twelve:

- Five (5) positions were exempt from civil service based on section 76-16(b)(12), Hawaii Revised Statutes (HRS);
- Five (5) positions were exempt based on section 76-16(b)(17), HRS;
- One (1) position was exempt based on section 76-16(b)(19), HRS; and
- One (1) position was exempt based on section 76-16(b)(23), HRS.

As of November 1, 2023, 2,897 exempt positions remain. Aloha Stadium event positions (1009), Student Helper and Student Intern positions (767), and Work Experience positions (2,191) are not included in the number of exempt positions remaining since such positions are not appropriate for conversion to civil service.

- Attachment 1 lists, by department, the exempt positions that were replaced with civil service positions within the reporting period.
- Attachment 2 provides a summary of the number of exempt positions that were replaced with civil service positions in each department.
- Attachment 3 provides the number of exempt positions remaining within each department, as of November 1, 2023, by the statutory basis for exemption.
- Attachment 4 reflects, by department, exempt positions that were identified for conversion to civil service where the incumbents elected to remain exempt pursuant to the applicable Policy No. 1000.002. These positions will be converted to civil service when the incumbents vacate the positions. For the period November 1, 2022 through October 31, 2023, no positions were reported in this category.

DATED: Honolulu, Hawaii, December 26, 2023

Respectfully submitted,

Brangel Ashimoto

Brenna H. Hashimoto, Director Department of Human Resources Development

Attachments

Department Att	orney Gene	ral						Subsection 17	Subsec	tion 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
CivilRecover	00043215	00043215	Office Assistant III	This position functions as part of the collections process and is assigned clerical and typing tasks, and may support other non-collection matters and other duties as assigned, including the notary office and asset forfeiture program.	6/29/2020	6/1/2023	23			

Department of E	Budget and	Finance						Subsection 17	Subsec	tion 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
HEUHIthBenTF	00023885	00023885	Program Specialist IV	The primary purpose of this position is to provide training	4/16/2004	4/1/2023	17	HRS 87-A- 24(4)		
				services to EUTF staff and the						
				department personnel and						
				financial offices that are						
				involved in the health and other						
				benefit plan management						
				process. This position						
				conducts open enrollment						
				sessions, active and retiree						
				informational sessions, and						
				special informational sessions						
				where required. Another						
				responsibility of this position is						
				to conduct presentations at pre-						
				retirement ad retirement						
				counseling sessions. This						
				position will support the privacy						
				officer in carrying out the Health						
				Insurance Portability and						
				Accountability Act (HIPAA) of						
				1996.						

Attachment 1 - DEF

Department of D	efense							Subsection 17	Subsec	ction 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
ArmyNatlGard	00122038	00122038	Administrative Spclt IV	Personnel & Budget Specialist	3/11/2016	4/1/2023	12		HIARNG	Special
				is responsible for providing in-					CFAO AND	
				depth personnel management					RIPR	
				and budgeting assistance to the						
				Hawaii Army National Guard						
				Division.						

Department of	Hawaiian H	ome Lands		Subsection 17	Subsec	tion 12				
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
_andMgtDiv	00100356	00100356	Land Agent V	Heads the Oahu-Kauai-Maui	2/2/1984	11/1/2022	17	Section		
				Section and is responsible for				202(b), HHCA		
				supervising and participating in				1920		
				a full range of professional land						
				management work and						
				personally performing the most						
				complex land transaction						
				assignments, involving						
				acquisition, disposition, and						
				management of income-						
				generating and other lands and						
				properties of the department.						
_andMgtDiv	00102942	00102942	General Professional V	The purpose of the General	7/29/1993	11/1/2022	17	Section		
				Professional (GP) V position is				202(b), HHCA		
				to determine if lease violations				1920		
				are occurring and to protect						
				DHHL property and assets from						
				any unauthorized uses.						
OfcOfChairmn	00102951	00102951	Project Manager II	The purpose of this position is	8/23/1995	11/1/2022	17	Section		
				to perform a variety of technical				202(b), HHCA		
				administrative support activities				1920		
				in the areas of project						
				management, document and						
				information management, and						
				budget and procurement						
				management for the division.						

Department of I	Hawaiian He	ome Lands	i		Subsection 17	Subsec	tion 12			
Div	Exempt Pos No	Changed Pos No	CS Title	Purpose of Position	Establish Date	Date of Conversion		Legal Authority	Project Title	Type of Project
OfcOfChairmn	00113159	00113159	Departmental Program Officer	This position is responsible for monitoring compliance and the enforcement of DHHL rules and regulations, lease violations, and other illegal activities on Hawaiian home lands; investigates complaints from beneficiaries and the general public; maintain positive community engagement with homestead communities; provide advice and assistance to the Hawaiian Homes Commission and DHHL staff on laws, policies, rules and regulations relating to the implementation of the Hawaiian home lands program.	7/12/2022		17	Section 202(b), HHCA 1920		

Department of H	luman Serv	vices						Subsection 17	Subsec	ction 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
Med-QuestDiv	00108927	00108927	General Professional V	The Encounter Data Validation	8/3/1999	1/27/2023	12			Demonstration
				Spclt evaluates the accuracy,					QUEST	
				reliability, and completeness of					Demonstration	
				the medical and dental					Project	
				encounter data submitted by						
				the QUEST health plans.						

Department of H	lealth							Subsection 17	Subsec	ction 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
BhavrlHlthAd	00119507	00124489	Mental Health Specialist	Provides clinical leadership for	6/9/2010	11/7/2022	12		Behavioral	Special
				CAMHD staff to increase the					Health	
				capacity of the Oahu system of					Initiative	
				care to provide effective mental						
				health treatment to girls who						
				have experienced trauma.						
DepDirOfHlth	00113093	00124491	Information Technology	To provide leadership and	9/19/2002	11/2/2022	12		Behavioral	Special
			Band C	management of this section in					Health	
				fulfilling required activities.					Initiative	

Department of F	Public Safet	ty						Subsection 17	Subsec	ction 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
DepDirCorr	00124196	00124196	Corrections Prgm Spclt II	Program Coordinator (SAVIN):	12/21/2021	1/5/2023	12		Statewide	Special
				The position furthers the					Automated	
				development, implementation					Victim	
				and maintenance of the system					Information	
				which includes: procurement of					and	
				the SAVIN vendor contract,					Notification	
				contract management, and					(SAVIN)	
				monitoring the functionality and						
				effectiveness of the system.						

Department Univ	versity of H	lawaii						Subsection 17	Subsec	tion 12
Div	Exempt	Changed	CS Title	Purpose of Position	Establish	Date of	Subsection	Legal Authority	Project Title	Type of
	Pos No	Pos No			Date	Conversion				Project
Admin	00100899	00100899		To perform routine manual work in the cleaning and maintenance of assigned UH Manoa building areas; and to perform other related duties as assigned.	8/11/1975	4/1/2023	19			

#### Attachment 2

#### Summary of Converted Positions [Exempt to Civil Service] November 1, 2022 - October 31, 2023

	AGR	AGS	ATG	BED	BUF	CCA	DEF	GOV	HHL	HMS	HRD	НТН	LAW	LBR	LIB	LNR	LTG	PSD	ТАХ	TRN	UOH	TOTALS
Positions - Subsection 12 <sup>1</sup>	1	1	10	14	14		115			33		194		11				16		20		429
Replaced with Civil Service <sup>2</sup>							1			1		2						1				5
Remain Exempt <sup>3</sup>	1	1	10	14	14	0	114	0	0	32	0	192	0	11	0	0	0	15	0	20	0	424
<b>—</b>					1	1			<u> </u>	<u> </u>								1		1		
Positions - Subsection 17 <sup>1</sup>	22	110	14	141	152	233	14	1	87	63		111		48	2	94		79	33	9		1213
Replaced with Civil Service <sup>2</sup>					1				4													5
Remain Exempt <sup>3</sup>	22	110	14	141	151	233	14	1	83	63	0	111	0	48	2	94	0	79	33	9	0	1208
	•				-	-			•	•								-		-		. <u> </u>
Positions - Subsection 19 <sup>1</sup>																					1	1
Replaced with Civil Service <sup>2</sup>																					1	1
Remain Exempt <sup>3</sup>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	1				1	1												1		1		
Positions - Subsection 23 <sup>1</sup>			1																	4	1	6
Replaced with Civil Service <sup>2</sup>			1																			1
Remain Exempt <sup>3</sup>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	1	5
Total Converted Positions	0	0	1	0	1	0	1	0	4	1	0	2	0	0	0	0	0	1	0	0	1	12

Notes:

Effective 7/1/2005, DOE (except LIB (public libraries) is not included in the report pursuant to Act 51, 2004. Aloha Stadium event positions are not included in count for BED subsection 17.

#### Footnotes:

<sup>1</sup>Number of positions from Report to the 2022 Legislature, Attachment 3.

<sup>2</sup>Number of positions converted between November 1, 2022 - October 31, 2023.

<sup>3</sup>Number of positions that remain exempt. Number does not include abolished or new positions.

#### Attachment 3

### Summary of Exempt Positions by HR 76-16(b) Subsections and Department

Subsection	AGR	AGS	ATG	BED	BUF	CCA	DEF	GOV	HHL	HMS	HRD	HTH	LAW	LBR	LIB	LNR	LTG	PSD	TAX	TRN	UOH	Total
1							15															15
3												2										2
4			4																			4
5		3						52									16					71
6								1									1					2
7	1	3	1	1	1	2	1		1	1	1	3		7		1		6	4	4		38
9	2	2	2	2	2	2	2		2	3	2	5	3	2		3		4	2	5	15	60
10			273										3									276
11															2							2
12		14	3	18	14		90			36	11	214		206				23		34		663
14																1						1
15												4								15	203	222
16	1	1		1	1	1	1		1	2	1	4		1	1	1			1	4		22
17	8	116	14	173	151	243	26	1	80	66		116		56	2	99		79	30	14		1274
21										40												40
22																					25	25
23																				3	1	4
24																		1				1
26							102															102
27				37																		37
28										4												4
29										5												5
30										5												5
31												1										1
32							20															20
35							1															1
Total	12	139	297	232	169	248	258	54	84	162	15	349	6	272	5	105	17	113	37	79	244	2897

# Notes:

HRMS data as of 11/1/23

The following positions are not included in the counts:

1009 Aloha Stadium event positions

767 Student Helper & Student Intern positions

2191 Work Experience positions

# Attachment 4

# Exempt positions identified for Replacement with Civil Service Positions but Employees Elected to Remain Exempt November 1, 2022 - October 31, 2023

No positions remained exempt due to employee election.